

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award or Grant Number

06-50-M09001

4. Report Date (MM/DD/YYYY)

12-18-2012

1. Recipient Name

CA Public Utilities Commission

6. Reporting Period End Date:

12-31-2012

3. Street Address

505 Van Ness Avenue,

5. City, State, Zip Code

San Francisco, CA 94102

**7a. Project / Grant Period
Start Date: (MM/DD/YYYY)**

10-01-2009

7b. End Date: (MM/DD/YYYY)

09-30-2014

8. Designated Entity on Behalf of:

N/A

9. List the individual projects in your approved project plan

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	Data Collection, Integration, Verification, Display	4,816,271	2,342,952	49%
2	Capacity Building	N/A	914,933	72,711	8%
3	Technical Assistance	N/A	299,038	37,364	12%
4	Application Usage and Development	Wireless Application Development	1,539,062	532,124	35%
5	Ownership and Adoption	Computer Ownership Native Lands	412,000	192,130	47%
6	N/A				47%

10. Personnel

10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.

N/A

10b. Staffing Table									
Job Title				FTE %	Project(s) Assigned			Change	
Assistant Information Systems Analyst (Specialist)				48	Data Collection			No Change	
Assistant Information Systems Analyst				68	Data Collection			New to Project	
Research Analyst I (GIS)				100	Technical Assistance			No Change	
Research Analyst I (GIS)				100	Data Collection			No Change	
Research Analyst I (GIS)				60	Data Collection			New to Project	
Public Utilities Regulatory Analyst V				100	Capacity Building			No Change	
Grant Administrator				38	Data Collection			No Change	
				Add Row				Remove Row	
11. Subcontracts									
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)									
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
California State University (CSU), Chico Research Foundation (Chico)	Data Collection	No	Yes	04/01/2010	10/01/2014	1,362,927	50,000	Data Collection	56
CSU Chico	Application Usage and	No	Yes	04/01/2010	10/01/2014	500,000	0	Application Usage	21
CSU Chico	Ownership and Adopti	No	Yes	04/01/2010	10/01/2014	549,313	0	Ownership and Adop	23
CSU Monterey Bay	Application Usage and	No	Yes	07/01/2011	10/01/2014	674,273	0	Application Developm	100
					Add Row		Remove Row		
11b. Describe any challenges encountered with vendors or subrecipients.									
N/A									

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$2,009,215	\$1,076,831	\$3,086,046	\$471,883	\$583,768	\$1,055,651
Personnel Fringe Benefits	\$572,770	\$352,506	\$925,276	\$137,654	\$201,494	\$339,148
Travel	\$190,910	\$0	\$190,910	\$28,979	\$0	\$28,979
Equipment	\$1,757,819	\$62,501	\$1,820,320	\$968,124	\$62,501	\$1,030,625
Materials / Supplies	\$9,656	\$0	\$9,656	\$630	\$0	\$630
Subcontracts Total	\$3,086,513	\$50,000	\$3,136,513	\$1,501,445	\$0	\$1,501,445
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$190,220	\$461,212	\$651,432	\$900	\$78,223	\$79,123
Total Direct Costs	\$7,817,103	\$2,003,050	\$9,820,153	\$3,109,615	\$925,986	\$4,035,601
Total Indirect Costs	\$164,201	\$0	\$164,201	\$67,666	\$0	\$67,666
Total Costs	\$7,981,304	\$2,003,050	\$9,984,354	\$3,177,281	\$925,986	\$4,103,267
% of Total	80	20	100	77	23	100

13. Hardware / Software
<p>13a. List any hardware/software purchased during this reporting period.</p> <p>(Reported in Q32012 PPR, but it did not show up on cash accrual account until Q42012): EDX Signal (RF propagation and analysis software for WISP validation) - 2 licenses. Total 2 licenses.</p>
<p>13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased.</p> <p>Mobile field testing: Upgrades on smartphones and USB modems used for mobile field testing, monthly data charges, netbook upgrade; Data Collection: annual software license maintenance, Validation data set subscription fees, server upgrade; annual hardware support and maintenance.</p>

14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).
<p>14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.</p> <p>14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.</p>

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

15a. Typed or Printed Name and Title of Authorized Certifying Official

Robert Osborn

Senior Analyst

15c. Telephone
(area code, number, and extension)

916-327-7788

15d. Email Address

robert.osborn@cpuc.ca.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

01-31-2013