

QUARTERLY PERFORMANCE PROGRESS REPORT FOR SUSTAINABLE BROADBAND ADOPTION

General Information

1. Federal Agency and Organizational Element to Which Report is Submitted Department of Commerce, National Telecommunications and Information Administration	2. Award Identification Number 36-43-B10512	3. DUNS Number 884226288
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4. Recipient Organization

 NYC Dept. of Information Technology & Telecommunication New York City , 75 Park Place, New York, NY 10007-2549

5. Current Reporting Period End Date (MM/DD/YYYY) 03-31-2013	6. Is this the last Report of the Award Period? <input type="radio"/> Yes <input checked="" type="radio"/> No
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7. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.

7a. Typed or Printed Name and Title of Certifying Official Leonie Gordon	7c. Telephone (area code, number and extension)
	7d. Email Address legordon@doitt.nyc.gov

7b. Signature of Certifying Official Submitted Electronically	7e. Date Report Submitted (MM/DD/YYYY): 05-09-2013
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Project Indicators (This Quarter)

1. Please describe significant project accomplishments completed during this quarter (600 words or less).

During the quarter, the New York City Department of Information Technology and Telecommunications:

- Continued to implement and refine administrative processes by providing programmatic oversight / support to the NYC Connected Learning program.
- Collaborated with the Department of Education (DOE) on the monitoring of programmatic goals and expenditures across partners.
- Worked with partners to plan the execution of BTOP TV Program launch, DoITT website and marketing outreach campaign event at Gracie Mansion on Wednesday, April 10, 2013.
- Engaged in open discussions with partners on sustainability planning post BTOP grant funding.

During the quarter the Department of Education:

- Focused on Year Three Program and Sustainability Plans.
- The Connected Learning (CL) program team and partners have capitalized on promising practices shared from the Expo event held in the previous quarter. These practices involve the monitoring of students digital portfolios, use of student data to inform instructional pedagogy, and utilizing interactive blogs to engage students and address critical social topics which have been integrated across partners (CFY, MOUSE, CUNY, Teaching Matters, AUSSIE and Common Sense Media) to improve NYC Connected Learning programmatic offering.

Significant school service accomplishments by partners:

- CUNY: Two Affinity Groups led by CUNY interns and staff were conducted on February 15th and March 15th. As per programmatic goals in Year Three, the goal of the group sessions is to begin the process of transferring technology system management knowledge from interns to school staff in order to achieve sustainability post the grant. In addition, interns were replaced at 3 schools.
- AUSSIE: Provided 16 days of Parent Workshops and 408 days of professional development sessions.
- Teaching Matters: 254 days of professional development was provided to 344 teachers in 2,429 unique coaching sessions across 22 schools.
- CFY: Trained and hired new staff required for program implementation. Approximately 897 families were served at Family Learning Workshops, 166 teachers attended Teacher Learning Workshops, and 201 families signed up for discounted broadband service. In addition, CFY conducted broadband phone surveys among families and recruited and managed volunteers who devoted significant hours to the CFY program component. Finally, CFY experienced programmatic challenges relating to the family learning workshops and Swap-Out Services because of the impact of Winter Storm Nemo.

2. Please provide the percent complete for the following key milestones in your project. Write "0" in the Percent Complete column and "N/A" in the Narrative column if your project does not include this activity. If you provided additional milestones in your baseline report, please insert them at the bottom of the table. Figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please provide a narrative description if the percent complete is different from the target provided in your baseline plan (300 words or less).

	Milestone	Percent Complete	Narrative (describe reasons for any variance from baseline plan or any other relevant information)
2.a.	Overall Project	98	Expenditures lagged behind projected Baseline Plan by 2% (Baseline is 100%).
2.b.	Equipment / Supply Purchases	-	Progress reported in Question 4 below
2.c.	Awareness Campaigns	-	Progress reported in Question 4 below
2.d.	Outreach Activities	-	Progress reported in Question 4 below
2.e.	Training Programs	-	Progress reported in Question 4 below
2.f.	Other (please specify):	-	Progress reported in Question 4 below

3. Please describe any challenges or issues faced during this past quarter in achieving planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (600 words or less).

Although expenditures increased during the quarter, we were unable to fully report on all expenses due to multiple weather related delays. In addition, given resource redeployment there was a delay in finalizing the program budget modification.

4a. In the chart below, please provide the requested information on your BTOP grant-funded SBA activities. Please also provide a short description of the activity (600 words or less). Figures should be reported cumulatively from award inception to the end of the most recent reporting quarter. Please ensure that the numbers of new household subscribers and business or community anchor institution (CAI) subscribers reflected in the "Total" row represent the unduplicated number of new subscribers that can be attributed to your SBA project as a whole.

Name of the SBA Activity	Location of SBA Activity	Description of Activity (600 words or less)	Size of Target Audience	Actual Number of Participants	New Subscribers: Households	New Subscribers: Businesses and/or CAIs
Family Learning Workshop Training	New York City, NY (All Boroughs)	<p>CFY's Family Learning Workshop is designed to inform and motivate families to use their Home Learning Centers and online educational software in order to help their children succeed academically. By tying broadband to their child's success in school, the workshop aims to make broadband highly relevant (a key adoption factor) in order to achieve sustained, long-term broadband adoption.</p> <p>CFY Family Trainers lead the hands-on workshop sessions, and CFY onsite Customer Service Representatives and Volunteers provide families with additional coaching and guidance. Family Learning Workshops are conducted in both English and Spanish.</p> <p>Specific topics include:</p> <ul style="list-style-type: none"> • Introduction (computer set-up, Windows basics, CFY Help Desk) • Internet Guidance (Internet safety, digital citizenship) • Learning Together (parents' role in learning with their children) • Using your Home Learning Center (exploration of installed educational software, productivity software, and accessibility tools) • Using Broadband to Learn Online (exploration of hundreds of free educational software curated at MyHomeLearning.com and instructions for accessing free subscriptions of additional software titles) • Enrolling in Discounted Broadband Programs (information and application to enable families to enroll in Time Warner Cable and Cablevision discount programs) 	59,692	50,981	9,684	0
School Outreach: Students	New York City, NY (All Boroughs)	<p>CFY conducts multipronged outreach in order to orient educators, students and families to the program.</p> <ul style="list-style-type: none"> • Kick-off meeting <p>CFY holds a Kick-off meeting with the school's designated project implementation team covering:</p> <ul style="list-style-type: none"> o Overview of project implementation plan o Overview of outreach plan o Schedule additional meetings & CFY outreach days <ul style="list-style-type: none"> • Outreach Tools <p>CFY program team prepares outreach documents and project management web tool for outreach collaboration with school implementation team, including:</p> <ul style="list-style-type: none"> o Invitation Packets (Bi-lingual, English & Spanish) o Reminder Notices (Bi-lingual, English & Spanish) o Web based project plan and timeline <ul style="list-style-type: none"> • Reaching Students and Families <ul style="list-style-type: none"> o In-class student training: CFY team meets with each 6th grade class at least twice prior to the Family Learning Workshop to train students about the program and encourage them to attend the Family Learning Workshops with their families. o Calling Families: CFY team trains a team of school staff to call each family to explain the opportunity and encourage attendance. In addition, CFY facilitates a volunteer staffed call-bank to provide additional support to the schools in calling families. o Targeted Outreach: CFY staff identifies students and families that have not responded or have not been reached in order to focus targeted outreach to these families. o Teacher Orientation: CFY staff leads a brief orientation with teachers to review the program and encourage teacher support in the outreach effort. 	27,294	25,929	0	0

Name of the SBA Activity	Location of SBA Activity	Description of Activity (600 words or less)	Size of Target Audience	Actual Number of Participants	New Subscribers: Households	New Subscribers: Businesses and/or CAIs
School Outreach: Teachers	New York City, NY (All Boroughs)	CFY staff conducts an Introductory Teacher Workshop for all 6th grade teachers at our partner schools. This is a 1.5 hour hands-on workshop where teachers get in-depth training on CFY's online and offline resources, with guidance on using technology to connect school to home and motivate broadband use by families.	1,128	1,128	0	0
Total:			88,114	78,038	9,684	0

4b. Please describe your method for determining the number of households, businesses, and/or (CAIs) subscribing to broadband as a result of your SBA programs (600 words or less).

PLEASE NOTE: Per guidance from the Department of Commerce, the number of new household subscribers listed above in the first SBA activity represents the total number of new household subscribers garnered through all SBA activities together. Likewise, each subsequent SBA activity shows "0" new household subscribers *only to avoid counting the total number of new subscribers more than once.* Please additionally note, that, per guidance from the Department of Commerce, each activity listed in the SBA Activities chart above shows the unique number of individuals targeted and participating in that activity, such that an individual that is targeted by or participates in more than one SBA Activity is counted separately in each. This strategy means that the total amounts for these columns represent SBA Activity "touchpoints" rather than unique individuals.

Notes regarding the calculation used to determine "target audience" figures for the two CFY SBA Activities above:
 FLWs Target Audience is determined by taking the number of Actual Participants divided by the percentage rate of families attended, based upon total 6th grade enrollment numbers as per central DOE data. (e.g. 6207 / 83% = 7478). In CFY's School Outreach figures, students represents the actual # of students that were provided outreach activities. This assumption is that 5% of students are absent on any given day and so this number is determined by taking 95% of 6th grade student enrollment across all the schools being served.

Our measurement methodology has not changed. It involves estimating both (A) "New Adoption" by families who had not adopted broadband upon program initiation and (B) "Net Adoption" by families who had broadband adoption upon program initiation and were retained on broadband as a result of the program.

CFY generates a unique CFY ID for every student served. This ID is used during the family registration process and is also permanently affixed to the Home Learning Center. The CFY ID is the critical data field to allow broadband research. At the Family Learning Workshop, CFY gathers basic information for every CFY ID, including technology adoption status and phone numbers. Every family is characterized into one of six groups based on their pre-workshop technology adoption status (groups 1-4 = not broadband adopters; group 5-6 = broadband adopters) which break down as follows:

- Group 1 -- no computer / no broadband
- Group 2 -- yes computer (but not educationally focused) / no broadband
- Group 3 -- yes educationally focused computer / no broadband
- Group 4 -- no computer / yes broadband
- Group 5 -- yes computer (but not educationally focused) / yes broadband
- Group 6 -- yes educationally focused computer / yes broadband

To estimate New Adoption, CFY conducts phone surveys (at least three months after the Family Learning Workshops) of families who were not broadband adopters at the time of the workshop, using staff and volunteers with different language skills to reach our multi-lingual families CFY aims for a sample size within each group that enables extrapolation by group with at least 90% confidence within at least 5% margin of error. The phone survey data is then extrapolated for each group in order to estimate the number of families who have become New Adopters.

To estimate Net Adoption, CFY conducts phone surveys (approximately one year after the Family Learning Workshops) of families who were broadband adopters at the time of the workshop. This data, compared to typical broadband retention rates of low-income families, is used to determine the number of families who have become Net Adopters. The same sample size methodology (described for New Adoption estimate) will be used for measuring Net Adoption. CFY is investigating how we will measure the baseline for "Net Adoption" and is contacting people in research organizations that have done broadband surveys in the past to learn if we can find a baseline level of churn for low-income populations against which we can compare the results for our families.

In the case of both New and Net Adoption, the survey data reflects total adoption among the groups surveyed, including both market-rate and discount-rate adoption.

4c. Please provide a narrative explanation if the total number of new subscribers is different from the targets provided in your baseline plan (600 words or less).

Cumulatively, the City of New York estimates that 9,684 families have adopted broadband, compared to the target of 12,413 families

provided in our baseline plan. 2,210 families have secured discounted broadband through our partnership with Time Warner Cable and Cablevision. During the quarter, 371 families adopted broadband and 201 enrolled in broadband discount programs.

4d. Please provide the number of households and the number of businesses and CAIs receiving discounted broadband service as result of BTOP funds.

Households: 2,210	Businesses and CAIs : 0
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Project Indicators (Next Quarter)

1. Please describe significant project accomplishments planned for completion during the next quarter (600 words or less).

In the next quarter, DoITT plans to:

- Continue to implement and refine administrative processes.
- Collaborate with DOE and program partners to review remaining program and expenditure forecast through end of grant/closeout.
- Launch the NYC BTOP TV program on NYC Connected Programs to publicly highlight the impact of broadband adoption among low-income sixth grade students and their families across the five boroughs. NYC TV launch dates include 4/25/13, 4/26/13 and 4/27/13.
- Execute outreach campaign event at Gracie Mansion for all program partners, students involved in the making of the TV program, and local community groups.
- Finalize its sustainability plans with DOE and its partners.
- Work with DOE on finalizing NYC Connected Learning program evaluation requirement as well as document best practices, lessons learned and programmatic achievements.

In the next quarter, The DOE plans to:

- Work with evaluator to finalize NYC Connected Learning program evaluation requirement.
- Begin to closeout programmatic expenditures across NYC Connected Learning program partners.
- Work with schools to ensure sustainability efforts are fully implemented and all BTOP efforts are completed across schools and partners.
- Collaborate with partners to host culminating NYC Connected Learning program event.

2. Please provide the percent complete anticipated for the following key milestones in your project as of the end of the next quarter. Write "0" in the second column if your project does not include this activity. If you provided additional milestones in your baseline report, please insert them at the bottom of the table. Figures should be reported cumulatively from award inception to the end of the next reporting quarter. Please provide a narrative description if the planned percent complete is different from the target provided in your baseline plan (300 words or less).

	Milestone	Percent Complete	Narrative (describe reasons for any variance from baseline plan or any other relevant information)
2.a.	Overall Project	100	Expenditure meets projection in Baseline Plan.
2.b.	Equipment Purchases	-	Milestone Data Not Required
2.c.	Awareness Campaigns	-	Milestone Data Not Required
2.d.	Outreach Activities	-	Milestone Data Not Required
2.e.	Training Programs	-	Milestone Data Not Required
2.f.	Other (please specify):	-	Milestone Data Not Required

3. Please describe any challenges or issues anticipated during the next quarter that may impact planned progress against the project milestones listed above. In particular, please identify any areas or issues where technical assistance from the BTOP program may be useful (600 words or less).

DoITT anticipates no challenges during the next quarter that may impact planned progress against the project milestones.

Sustainable Broadband Adoption Budget Execution Details

Activity Based Expenditures (Sustainable Broadband Adoption)

1. Please provide details below on your total budget, cumulative actual expenditures (for the period ending the current quarter), and cumulative anticipated expenditures (for the period ending next quarter) for each line item, including detailed disbursements of both matching funds and federal funds from project inception through end of this quarter (actual) or next quarter (anticipated). Actual and anticipated figures should be reported cumulatively from award inception to the end of the applicable reporting quarter.

Budget for Entire Project				Actuals from Project Inception through End of Current Reporting Period			Anticipated Actuals from Project Inception through End of Next Reporting Period		
Cost Classification	Total Cost (plan)	Matching Funds (plan)	Federal Funds (plan)	Total Cost	Matching Funds	Federal Funds	Total Costs	Matching Funds	Federal Funds
a. Personnel	\$161,412	\$161,412	\$0	\$160,260	\$138,604	\$21,656	\$161,412	\$138,604	\$22,808
b. Fringe Benefits	\$82,320	\$82,320	\$0	\$60,711	\$50,749	\$9,962	\$64,765	\$50,749	\$14,016
c. Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
d. Equipment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
e. Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
f. Contractual	\$285,120	\$0	\$285,120	\$264,600	\$0	\$264,600	\$274,600	\$0	\$274,600
g. Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
h. Other	\$27,498,339	\$5,620,634	\$21,877,705	\$26,874,337	\$5,675,013	\$21,199,324	\$27,526,414	\$5,675,013	\$21,851,401
i. Total Direct Charges (sum of a through h)	\$28,027,191	\$5,864,366	\$22,162,825	\$27,359,908	\$5,864,366	\$21,495,542	\$28,027,191	\$5,864,366	\$22,162,825
j. Indirect Charges									
k. TOTALS (sum of i and j)	\$28,027,191	\$5,864,366	\$22,162,825	\$27,359,908	\$5,864,366	\$21,495,542	\$28,027,191	\$5,864,366	\$22,162,825

2. Program Income: Please provide the program income you listed in your application budget and actuals to date through the end of the reporting period.

a. Application Budget Program Income: \$0	b. Program Income to Date: \$0
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