

U.S. DEPARTMENT OF COMMERCE Performance Progress Report				2. Award or Grant Number 21-50-M09061	
				4. Report Date (MM/DD/YYYY) 01-24-2014	
1. Recipient Name Commonwealth of Kentucky				6. Reporting Period End Date: 12-31-2013	
3. Street Address 700 Capitol Avenue,					
5. City, State, Zip Code Frankfort, KY 40601-3410					
7a. Project / Grant Period Start Date: (MM/DD/YYYY) 01-01-2010	7b. End Date: (MM/DD/YYYY) 12-31-2014	8. Designated Entity on Behalf of: N/A			
9. List the individual projects in your approved project plan					
	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	N/A	3,402,717	2,892,434	85%
2	Technical Assistance	N/A	1,900,000	1,261,523	66%
3	N/A	N/A	0	0	
4	N/A	N/A	0	0	
5	N/A	N/A	0	0	
6	N/A	N/A	0	0	
			\$5,302,717	\$4,153,957	78%
10. Personnel 10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed. N/A					

10b. Staffing Table									
Job Title				FTE %		Project(s) Assigned		Change	
N/A				0		N/A		No Change	
			Add Row				Remove Row		
11. Subcontracts									
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)									
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)	
Michael Baker Inc	Data Collection	Yes	Yes	02/01/2010	12/31/2014	3,002,715	0	Data Collection	75
Michael Baker Inc	Technical Assistance	No	Yes	08/26/2011	01/31/2014	1,261,132	0	Technical Assistance	25
Murray State University	Data Collection	No	Yes	06/15/2010	06/30/2011	399,762	0	Data Collection	100
Kentucky Area Development Districts	Technical Assistance	No	Yes	12/08/2011	12/31/2014	300,000	0	Technical Assistance	100
Kentucky Council on Postsecondary Education (CPE)	Technical Assistance	No	No	11/27/2013	12/31/2014	338,868	0	Technical Assistance	100
					Add Row		Remove Row		
<p>11b. Describe any challenges encountered with vendors or subrecipients.</p> <p>Please note that our office submitted a Budget Modification request, September 17, 2013. Request has been reviewed by the Federal Program Officer (FPO) and documents were submitted to the Post-Award Monitoring System (PAM) for FPO and Grants Office review and approval on December 5, 2013. Once we receive approval, our Subcontracts table will sum to both the Budget Worksheet (Q12) and the "Contractual" line item in our SF-424A.</p> <p>Also note: The Kentucky Area Development District is a subrecipient, we had a contract with the KY Area Development Districts to facilitate the development and outreach to all of our local/ regional stakeholders. I understand that we cannot change the approved budget within the PPR as reported in Question 12 Budget Worksheet and on the Project Attachment budgets in Questions 14a and 14 b. Also Total Federal Funds in Question 11a does not equal the approved budget amount for Subcontracts (\$4,674,509) in Question 12, Budget Worksheet. Also note, Materials/ Supplies was not an object class category that approved for match. These changes will be resolved with a budget modification request.</p> <p>Please note that on 11/27/2013 the OBOD office entered into a contract with the Kentucky Council on Postsecondary Education for contract work on the Technical Assistance activities. Further information is noted in the 13Q4_Project_Attachment_Questions_2_and_4_Technical Assistance_KY.docx.</p> <p>Also see 13Q4_Project Attachment_Question11b_DataCollection_KY.docx</p>									

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$56,943	\$798,048	\$854,991	\$0	\$593,726	\$593,726
Personnel Fringe Benefits	\$19,038	\$96,826	\$115,864	\$0	\$151,029	\$151,029
Travel	\$0	\$6,000	\$6,000	\$0	\$12,731	\$12,731
Equipment	\$0	\$16,956	\$16,956	\$0	\$0	\$0
Materials / Supplies	\$0	\$0	\$0	\$0	\$20,240	\$20,240
Subcontracts Total	\$4,674,509	\$0	\$4,674,509	\$4,153,957	\$0	\$4,153,957
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$552,227	\$408,358	\$960,585	\$0	\$247,311	\$247,311
Total Direct Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$4,153,957	\$1,025,037	\$5,178,994
Total Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$4,153,957	\$1,025,037	\$5,178,994
% of Total	80	20	100	80	20	100

13. Hardware / Software 13a. List any hardware/software purchased during this reporting period. N/A
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased. N/A

14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project). 14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR. 14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

15a. Typed or Printed Name and Title of Authorized Certifying Official

Dana Case

15c. Telephone
(area code, number, and extension)

15d. Email Address
dana.case@ky.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

01-30-2014