

U.S. DEPARTMENT OF COMMERCE

Performance Progress Report

2. Award or Grant Number

21-50-M09061

4. Report Date (MM/DD/YYYY)

04-21-2014

1. Recipient Name

Commonwealth of Kentucky

6. Reporting Period End Date:

03-31-2014

3. Street Address

700 Capitol Avenue,

5. City, State, Zip Code

Frankfort, KY 40601-3410

**7a. Project / Grant Period
Start Date: (MM/DD/YYYY)**

01-01-2010

7b. End Date: (MM/DD/YYYY)

12-31-2014

8. Designated Entity on Behalf of:

N/A

9. List the individual projects in your approved project plan

	Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)	Project Name (if different from Project Type)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding amount expended
1	Data Collection	N/A	3,402,717	3,174,773	93%
2	Technical Assistance	N/A	1,900,000	1,376,178	72%
3	N/A	N/A	0	0	
4	N/A	N/A	0	0	
5	N/A	N/A	0	0	
6	N/A	N/A	0	0	
			\$5,302,717	\$4,550,951	86%

10. Personnel

10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.

N/A

10b. Staffing Table										
Job Title				FTE %	Project(s) Assigned			Change		
N/A				0	N/A			No Change		
			Add Row		Remove Row					
11. Subcontracts										
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)										
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)		
Michael Baker Inc	Data Collection	Yes	Yes	02/01/2010	12/31/2014	3,002,717	0	Data Collection	75	
Michael Baker Inc	Technical Assistance	No	Yes	08/26/2011	12/31/2014	1,261,132	0	Technical Assistance	25	
Murray State University	Data Collection	No	Yes	06/15/2010	06/30/2011	400,000	0	Data Collection	100	
Kentucky Council on Postsecondary Education (CPE)	Technical Assistance	No	Yes	11/27/2013	12/31/2014	338,868	0	Technical Assistance	100	
					Add Row	Remove Row				
11b. Describe any challenges encountered with vendors or subrecipients.										
<p>Please note that our office submitted a Budget Modification request, September 17, 2013. Request has been reviewed by the Federal Program Officer (FPO) and documents were submitted to the Post-Award Monitoring System (PAM) for FPO and Grants Office review and approval on December 5, 2013. The Amendment to Financial Assistance Award has been approved, effective date February 11, 2014.</p> <p>Also note: The Kentucky Area Development District is a subrecipient, we had a contract with the KY Area Development Districts to facilitate the development and outreach to all of our local/regional stakeholders. Question 12 Budget Worksheet and the Project Attachment in Questions 14a and 14b reflect the February 11, 2014 approved budget modification.</p> <p>Please note that on 11/27/2013 the OBOD office entered into a contract with the Kentucky Council on Postsecondary Education for contract work on the Technical Assistance activities. Further information is noted in the 14Q1_Project_Attachment_Questions_2_and_4_Technical Assistance_KY.docx.</p> <p>Also see 14Q1_Project Attachment_Question11b_DataCollection_KY.docx</p>										

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$0	\$795,631	\$795,631	\$0	\$639,614	\$639,614
Personnel Fringe Benefits	\$0	\$215,640	\$215,640	\$0	\$165,714	\$165,714
Travel	\$0	\$30,177	\$30,177	\$0	\$12,865	\$12,865
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Materials / Supplies	\$0	\$37,429	\$37,429	\$0	\$67,087	\$67,087
Subcontracts Total	\$5,002,717	\$0	\$5,002,717	\$4,250,951	\$0	\$4,250,951
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$300,000	\$247,311	\$547,311	\$300,000	\$247,311	\$547,311
Total Direct Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$4,550,951	\$1,132,591	\$5,683,542
Total Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$4,550,951	\$1,132,591	\$5,683,542
% of Total	80	20	100	80	20	100

13. Hardware / Software
13a. List any hardware/software purchased during this reporting period. N/A
13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased. N/A

14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).
14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.
14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.

15a. Typed or Printed Name and Title of Authorized Certifying Official

Dana Case

15c. Telephone
(area code, number, and extension)

15d. Email Address

dana.case@ky.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted
(MM/DD/YYYY)

04-28-2014