

<b>U.S. DEPARTMENT OF COMMERCE</b>  <b>Performance Progress Report</b>				<b>2. Award or Grant Number</b> 21-50-M09061	
				<b>4. Report Date (MM/DD/YYYY)</b> 10-15-2013	
<b>1. Recipient Name</b> Commonwealth of Kentucky				<b>6. Reporting Period End Date:</b> 09-30-2013	
<b>3. Street Address</b> 700 Capitol Avenue,					
<b>5. City, State, Zip Code</b> Frankfort, KY 40601-3410					
<b>7a. Project / Grant Period Start Date: (MM/DD/YYYY)</b> 01-01-2010	<b>7b. End Date: (MM/DD/YYYY)</b> 12-31-2014	<b>8. Designated Entity on Behalf of:</b>  N/A			
<b>9. List the individual projects in your approved project plan</b>					
	<b>Project Type (Data Collection, Capacity Building, Technical Assistance, etc.)</b>	<b>Project Name (if different from Project Type)</b>	<b>Total Federal Funding Amount</b>	<b>Total Federal Funding Amount expended at the end of this reporting period</b>	<b>Percent of Total Federal Funding amount expended</b>
1	Data Collection	N/A	3,402,717	2,792,513	82%
2	Technical Assistance	N/A	1,900,000	1,150,532	61%
3	N/A	N/A	0	0	
4	N/A	N/A	0	0	
5	N/A	N/A	0	0	
6	N/A	N/A	0	0	
			\$5,302,717	\$3,943,045	74%
<b>10. Personnel</b>  <b>10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.</b>  N/A					

10b. Staffing Table										
Job Title				FTE %		Project(s) Assigned		Change		
N/A				0		N/A		No Change		
			Add Row				Remove Row			
11. Subcontracts										
11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4)										
Name	Subcontract Purpose	RFP Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned (Example: Data Collection 75)		
Michael Baker Inc	Data Collection	Yes	Yes	02/01/2010	12/31/2014	3,002,716	0	Data Collection	75	
Michael Baker Inc	Technical Assistance	No	Yes	08/26/2011	01/31/2014	717,932	0	Technical Assistance	25	
Murray State University	Data Collection	No	Yes	06/15/2010	06/30/2011	399,762	0	Data Collection	100	
Kentucky Area Development Districts	Technical Assistance	No	Yes	12/08/2011	12/31/2014	450,000	0	Technical Assistance	100	
N/A - TBD	Technical Assistance	No	No	12/31/2014	12/31/2014	732,307	0	Technical Assistance	0	
					Add Row		Remove Row			
11b. Describe any challenges encountered with vendors or subrecipients.										
<p>Please note that our office submitted a Budget Modification request, September 17, 2013 and is in review of our Federal Program Officer. The Kentucky Area Development District is a subrecipient, we have a contract with the KY Area Development Districts to facilitate the development and outreach to all of our local/regional stakeholders. I understand that we cannot change the approved budget within the PPR as reported in Question 12 Budget Worksheet and on the Project Attachment budgets in Questions 14a and 14 b. Also Total Federal Funds in Question 11a does not equal the approved budget amount for Subcontracts (\$4,674,509) in Question 12, Budget Worksheet. Also note, Materials/Supplies was not an object class category that was previously approved for match. These changes will be resolved with a budget modification request.</p> <p>Also see 13Q3_Project Attachment_Question11b_DataCollection_KY.docx</p>										

12. Budget worksheet						
Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element	Federal Funds Awarded	Approved Matching Funds	Total Budget	Federal Funds Expended	Approved Matching Funds Expended	Total Funds Expended
Personnel Salaries	\$56,943	\$798,048	\$854,991	\$0	\$548,872	\$548,872
Personnel Fringe Benefits	\$19,038	\$96,826	\$115,864	\$0	\$136,676	\$136,676
Travel	\$0	\$6,000	\$6,000	\$0	\$9,979	\$9,979
Equipment	\$0	\$16,956	\$16,956	\$0	\$0	\$0
Materials / Supplies	\$0	\$0	\$0	\$0	\$19,305	\$19,305
Subcontracts Total	\$4,674,509	\$0	\$4,674,509	\$3,943,045	\$0	\$3,943,045
Construction	\$0	\$0	\$0	\$0	\$0	\$0
Other	\$552,227	\$408,358	\$960,585	\$0	\$247,311	\$247,311
Total Direct Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$3,943,045	\$962,143	\$4,905,188
Total Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0
Total Costs	\$5,302,717	\$1,326,188	\$6,628,905	\$3,943,045	\$962,143	\$4,905,188
% of Total	80	20	100	80	20	100

  

13. Hardware / Software
<p>13a. List any hardware/software purchased during this reporting period.</p> <p>N/A</p>
<p>13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased.</p> <p>N/A</p>

  

14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).
<p>14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.</p> <p>14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.</p>

**15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.**

15a. Typed or Printed Name and Title of Authorized Certifying Official

Dana Case

15c. Telephone  
(area code, number, and extension)

15d. Email Address  
dana.case@ky.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted  
(MM/DD/YYYY)

10-29-2013