

U.S. DEPARTMENT OF COMMERCE

## Performance Progress Report

**2. Award or Grant Number**

06-50-M09001

**4. Report Date (MM/DD/YYYY)**

01-15-2014

**1. Recipient Name**

CA Public Utilities Commission

**6. Reporting Period End Date:**

12-31-2013

**3. Street Address**

505 Van Ness Avenue,

**5. City, State, Zip Code**

San Francisco, CA 94102

**7a. Project / Grant Period  
Start Date: (MM/DD/YYYY)**

10-01-2009

**7b. End Date: (MM/DD/YYYY)**

09-30-2014

**8. Designated Entity on Behalf of:**

N/A

**9. List the individual projects in your approved project plan**

|   | Project Type (Data Collection, Capacity Building, Technical Assistance, etc.) | Project Name (if different from Project Type)       | Total Federal Funding Amount | Total Federal Funding Amount expended at the end of this reporting period | Percent of Total Federal Funding amount expended |
|---|---|---|------------------------------|---|--|
| 1 | Data Collection   | Data Collection, Integration, Verification, Display | 4,816,271                    | 3,245,994   | 67%  |
| 2 | Capacity Building   | N/A   | 914,933                      | 178,142   | 19%  |
| 3 | Technical Assistance  | N/A   | 299,038                      | 115,414   | 39%  |
| 4 | Application Usage and Development   | Wireless Application Development                    | 1,539,062                    | 1,154,244   | 75%  |
| 5 | Ownership and Adoption  | Computer Ownership/Native Lands                     | 412,000                      | 329,630   | 80%  |
| 6 | N/A   |   |                              |   |  |
|   |   |   | \$7,981,304                  | \$5,023,424   | 63%  |

**10. Personnel**

**10a. If the project is not fully staffed, describe how any lack of staffing may impact the project's timeline and when the project will be fully staffed.**

N/A

| 10b. Staffing Table   |                       |                  |                         |            |                      |                               |                                |  |     |
|---|-----------------------|------------------|-------------------------|------------|----------------------|-------------------------------|--------------------------------|--|-----|
| Job Title   |                       |                  |                         | FTE %      | Project(s) Assigned  |                               |                                | Change   |     |
| Assistant Information Systems Analyst   |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Assistant Information Systems Analyst   |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Public Utilities Analyst V  |                       |                  |                         | 100        | Capacity Building    |                               |                                | No Change  |     |
| Public Utilities Analyst I  |                       |                  |                         | 100        | Technical Assistance |                               |                                | No Change  |     |
| Public Utilities Analyst I  |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Research Analyst (GIS)  |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Research Analyst (GIS)  |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Office Technician (Typing)  |                       |                  |                         | 100        | Data Collection      |                               |                                | No Change  |     |
| Grant Administrator   |                       |                  |                         | 23         | Data Collection      |                               |                                | No Change  |     |
|   |                       |                  |                         | Add Row    |                      | Remove Row                    |                                |  |     |
| 11. Subcontracts  |                       |                  |                         |            |                      |                               |                                |  |     |
| 11a. Subcontracts Table - Include all subcontractors. The totals from this table equal the "Subcontracts Total" from the Program Budget Worksheet (Q. 12, Column 2, 3, and 4) |                       |                  |                         |            |                      |                               |                                |  |     |
| Name  | Subcontract Purpose   | RFP Issued (Y/N) | Contract Executed (Y/N) | Start Date | End Date             | Total Federal Funds Allocated | Total Matching Funds Allocated | Project and % Assigned (Example: Data Collection 75) |     |
| California State University (CSU), Chico Research Foundation (Chico)  | Data Collection       | No               | Yes                     | 04/01/2010 | 10/01/2014           | 1,362,927                     | 50,000                         | Data Collection                                      | 81  |
| CSU Chico   | Application Usage and | No               | Yes                     | 04/01/2010 | 10/01/2014           | 500,000                       | 0                              | Application Usage                                    | 82  |
| CSU Chico   | Ownership and Adopti  | No               | Yes                     | 04/01/2010 | 10/01/2014           | 549,313                       | 0                              | Ownership and Adop                                   | 100 |
| CSU Monterey Bay  | Application Usage and | No               | Yes                     | 04/01/2010 | 10/01/2014           | 674,273                       | 0                              | Application Developm                                 | 69  |
|   |                       |                  |                         |            | Add Row              | Remove Row                    |                                |  |     |
| 11b. Describe any challenges encountered with vendors or subrecipients.   |                       |                  |                         |            |                      |                               |                                |  |     |
| N/A   |                       |                  |                         |            |                      |                               |                                |  |     |



| 12. Budget worksheet   |                       |                         |              |                        |                                  |                      |
|--|-----------------------|-------------------------|--------------|------------------------|----------------------------------|----------------------|
| Columns 2, 3, and 4 will match your current project budget for your entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved. |                       |                         |              |                        |                                  |                      |
| Project Budget Element   | Federal Funds Awarded | Approved Matching Funds | Total Budget | Federal Funds Expended | Approved Matching Funds Expended | Total Funds Expended |
| Personnel Salaries   | \$2,009,215           | \$1,076,831             | \$3,086,046  | \$900,601              | \$781,714                        | \$1,682,315          |
| Personnel Fringe Benefits  | \$572,770             | \$352,506               | \$925,276    | \$286,261              | \$283,408                        | \$569,669            |
| Travel   | \$190,910             | \$0                     | \$190,910    | \$42,976               | \$0                              | \$42,976             |
| Equipment  | \$1,757,819           | \$62,501                | \$1,820,320  | \$1,191,505            | \$62,501                         | \$1,254,006          |
| Materials / Supplies   | \$9,656               | \$0                     | \$9,656      | \$1,177                | \$0                              | \$1,177              |
| Subcontracts Total   | \$3,086,513           | \$50,000                | \$3,136,513  | \$2,529,693            | \$0                              | \$2,529,693          |
| Construction   | \$0                   | \$0                     | \$0          | \$0                    | \$0                              | \$0                  |
| Other  | \$190,220             | \$461,212               | \$651,432    | \$3,545                | \$78,223                         | \$81,768             |
| Total Direct Costs   | \$7,817,103           | \$2,003,050             | \$9,820,153  | \$4,955,758            | \$1,205,846                      | \$6,161,604          |
| Total Indirect Costs   | \$164,201             | \$0                     | \$164,201    | \$67,666               | \$0                              | \$67,666             |
| Total Costs  | \$7,981,304           | \$2,003,050             | \$9,984,354  | \$5,023,424            | \$1,205,846                      | \$6,229,270          |
| % of Total   | 80                    | 20                      | 100          | 81                     | 19                               | 100                  |

  

| 13. Hardware / Software  |
|--|
| <p>13a. List any hardware/software purchased during this reporting period.</p> <p>GIS server, Dell PERC battery replacement of GIS2 server</p>   |
| <p>13b. Please note any hardware/software that has yet to be purchased and explain why it has not been purchased.</p> <p>ArcGIS and SQL Server Licenses (annual maintenance), SAN Storage and servers (maintenance support), ID Insight and TeleAtlas Data (subscription), LTE smart phone and data card upgrades (to support the mobile field testing).</p> |

  

| 14. SBI PPR Project Attachment (Skip question 14b if Data Collection is your only project).  |
|--|
| <p>14a. Complete a SBI PPR Project Data Collection Attachment and attach it to the PPR.</p> <p>14b. Complete a SBI PPR Attachment for each additional funded project and attach it to the PPR.</p> |

**15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose set forth in the award documents.**

15a. Typed or Printed Name and Title of Authorized Certifying Official

Robert Osborn

Senior Analyst

15c. Telephone  
(area code, number, and extension)

916-327-7788

15d. Email Address

robert.osborn@cpuc.ca.gov

15b. Signature of Authorized Certifying Official

Submitted Electronically

15e. Date Report Submitted  
(MM/DD/YYYY)

01-29-2014