

FIRST PERFORMANCE PROGRESS REPORT 2010



1. Recipient Organization (*Name and complete address including zip code*)
Information Technology, Department of
101 E. River Dr., Fl 4
Hartford, CT 06108-3285

2. Award Identification Number
NT10BIX5570150

3. Performance Narrative (Q1)

The State of Connecticut received notification of the award on September 13, 2010 and accepted the award on September 23, 2010. Because this notification and acceptance occurred in the closing days of the quarterly reporting period timeline, there were not any expenditures of federal funds nor issuance of project related purchase orders during this reporting period.

While this notification and acceptance occurred late in the reporting period, the State had established during the original application process the necessary project team structures to allow for immediate execution of the project plan should the project be funded. This proactive planning has allowed the following activities to occur without delay:

- a) Establish project teams (program, financial, technical and outreach/communications) and assign appropriate resources, roles
- b) Review award special conditions and development of plans to address stipulated requirements
- c) Participation in NTIA conference calls and web based presentations
- d) Register with all required reporting and compliance entities
- e) Comply with all reporting requirements and timelines
- f) Establish a permanent Grants file and document repository for the project
- g) Obtain letter of commitment from Fibertech Networks for fiber build out timelines
- h) Obtain internal MOU agreements with Department of Public Safety and Connecticut Education Network
- i) Identify next quarter key milestones and activities

Because these activities have been accomplished, the State is in an excellent position to move forward with the project and does not anticipate any major obstacles or delays at this time.

4. Performance Projections (Q2)

Because the award has a Special Award Condition (SAC) requiring that an Environmental Assessment be performed and approved prior to initiation of any implementation or construction activities, the project plan submitted with the initial application specifying installation and turn-up of fiber and equipment at 48 sites in Q1 and 72 sites in Q2 will not be accomplished as previously planned. It is currently anticipated that the Environmental Assessment will be completed no later than as required (3/01/2011) by the SAC and, as such, the project plan will need to be adjusted accordingly. Therefore, the principal milestones planned for this quarter are focused primarily on satisfying the Environmental Assessment requirement as well as validating and finalizing our overall network design as originally submitted. Specific milestones and related tasks are:

a) Environmental Assessment

- Finalize fiber route paths
- Develop detailed route maps and produce required USGS 7.5 minute quadrangle maps
- Submit maps to NTIA for dissemination to required Environmental related entities
- Research and selection of appropriate Environmental Assessment consultant(s)
- Develop and gather additional Environmental Assessment information as required
- Contact appropriate Environmental Assessment related Federal and State agencies, request consultations and provide necessary project information as requested

b) Network Design

- Validate address and contact information for all 667 Community Anchor Institutions included in the project to insure accuracy
- Validate and adjust overall network design as required to reflect changes in technology platforms occurring since the original project submission in March of 2010
- Inclusion of the finalized fiber route path designs

c) Overall Project

- Re-baseline project timeline to accommodate Environmental Assessment activities
- Develop updated deployment timelines and milestones
- Prepare and submit an updated Project Plan, Baseline Report and Six Month Expenditure Plan
- Reporting and grant compliance
- Vendor contract verification

As stated above, because the award requires an Environmental Assessment to be completed prior to initiation of implementation or construction activities, the following project milestones will not be addressed during this quarter:

- Equipment Procurement

- Network Build
- Equipment Deployment
- Network Testing

From a funding perspective, it is anticipated that project expenditures for this quarter will total \$1,412,500, consisting of \$1,130,000 in grant funds and \$282,500 in matching funds. Specific categories include:


- Project Management
- Engineering and Design (equipment list updates, design validation)
- Professional Services (Environmental Assessment, data gathering)
- Administrative and Legal
- Pre-construction (Fiber route planning, route ride-outs and path verification, site surveys, permit application preparation)

Additionally, the State will request a budget modification to reallocate \$650,000 from our approved budget category for Professional Services to the budget category for Administrative and Legal expenses. This is due to a misunderstanding during the original grant submittal process of how to classify certain expenses for audits and other grant permitted expenses. This modification will not affect the overall project budget or match requirements.

Major accomplishments and goals for this quarter include approval of the submitted Budget Modification request, Six Month Expenditure Plan, submission and approval of the updated Baseline Project Plan and Baseline Report, selection and engagement of the Environmental Consultant(s), Environmental Assessment data gathering and substantial completion of the design validation and updates.

At this time, the State does not anticipate any major challenges relative to the project or obstacles in meeting the above tasks, milestones or goals.

5. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.

5a. Typed or Printed Name and Title of Authorized Certifying Official Frank E. Arute Authorized Organizational Representative (AOR)	5c. Telephone (area code, number and extension) (860) 622-2211
5b. Signature of Authorized Certifying Official 	5d. Email Address Frank.arute@ct.gov 5e. Date Report Submitted (Month, Day, Year) November 18, 2010

OMB CONTROL NO. 0660-0035

EXPIRATION DATE: 11/30/2010

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